



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1722  
#6

Application of : Gereg, G. ) Art Unit: 1722  
U.S. Appln. No. : 09/902,086 ) Examiner:  
U.S. Filing Date : July 11, 2001  
Confirmation No. : 7757  
Title of Invention : Apparatus and Method for Predicting the Suitability of a Substance for  
Dry Granulation by Roller Compaction Using Small Sample Sizes  
Attny. Docket No.: 9/191US

Commissioner for Patents  
Washington, D.C. 20231

March 28, 2002

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**SUPPLEMENTAL TRANSMITTAL LETTER  
FOR INFORMATION DISCLOSURE STATEMENT**

Sir:

Transmitted herewith concerning the subject application is an Information Disclosure Statement under 37 C.F.R. §§1.56 and 1.97, as more specifically described hereinbelow.

☒ 1.97(b). This Statement is being filed: i) within three (3) months of the filing date of a national application other than a continued prosecution application under 33 C.F.R. §1.53 (d); ii) within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; iii) before the mailing of a first Office action on the merits; or iv) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

☐ 1.97(c). This Statement is being filed after the time period specified in 37 C.F.R. §1.97(b), but before the mailing date of: i) a final action under 37 C.F.R. §1.113, ii) a notice of allowance under 37 C.F.R. §1.311, or iii) an action that otherwise closes prosecution in the application. This Statement is being accompanied by:

☐ A statement as specified in 37 C.F.R. §1.97(e) [see below]; or

☐ The fee set forth in 37 C.F.R. §1.17(p).

☐ The Assistant Commissioner is hereby authorized to charge payment of the \$180.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 02-2955.

☐ 1.97(d). This Statement is being filed after the period specified in 37 C.F.R. §1.97(c) but on or before payment of the issue fee. This Statement is accompanied by a statement as specified in 37 C.F.R. §1.97(e) [see below] and the fee set forth in 37 C.F.R. §1.17(p).

☒ 1.97(e).

☒ Each item of information contained in the instant information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the instant information disclosure statement; or

☐ No item of information contained in the instant information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in the instant information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three (3) months prior to the filing of the instant information disclosure statement.

☐ The fee set forth in 37 C.F.R. §1.17(p).

☐ The Assistant Commissioner is hereby authorized to charge payment of the \$180.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 02-2955.

☐ 1.704(d). Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, which communication was not received by any individual designated in section 1.56(c) more than thirty (30) days prior to the filing of the accompanying information disclosure statement.

☒ The Assistant Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. §1.16 and any patent application processing fees under 37 C.F.R. §1.17, or credit any overpayment of same, to Deposit Account No. 02-2955.

Triplicate copies of this form are enclosed.

Respectfully submitted,



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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

on March 28, 2002



By: Anthony P. Bottino  
Reg. No. . 41,629



Substitute for form 1449A/PTO  <b>PATENT DOCUMENTS SUBMITTED BY APPLICANT</b>				Complete If Known				
				Application Number	09/902,086			
				Filing Date	July 11, 2001			
				First Named Inventor	Gereg, George			
				Group Art Unit				
				Examiner Name				
Sheet	1	of	1	Attorney Docket Number	9/191US			
U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number	Kind Code <sup>2</sup> (if known)					
	AUS1	3,255,279		Mark J. Smith	06/07/1966			
	AUS2	5,035,845		Slawomir Gasiorrek	07/30/1991			
	AUS3							
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	AUS14							
	AUS15							
	AUS16							
	AUS17							
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	AF1	JP Abstr.	59050999		Kansai Coke & Chem. Co. Ltd.	03/24/1984		<input type="checkbox"/>
	AF2	DE	19728767 C 1		Speen, R.	01/07/1999		<input type="checkbox"/>
	AF3	EP	0213470		Siemens Aktiengesellschaft Berlin	03/11/1987		<input type="checkbox"/>
	AF4	JP Abstr.	61184440		Mitsubishi Heavy Ind. Ltd.	08/18/1986		<input type="checkbox"/>
	AF5	JP Abstr.	09157702		Honda Motor Co.	06/17/1997		<input type="checkbox"/>
	AF6	DE	715247		Adolf Brunne	12/17/1941		<input type="checkbox"/>
	AF7							<input type="checkbox"/>
	AF8							<input type="checkbox"/>
	AF9							<input type="checkbox"/>
	AF10							<input type="checkbox"/>
Examiner Signature					Date Considered			

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